

Control of bud activation by an auxin transport switch

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In many plant species only a small proportion of buds yield branches. Both the timing and extent of bud activation are tightly regulated to produce specific branching architectures. For example, the primary shoot apex can inhibit the activation of lateral buds. This process is termed apical dominance and is dependent on the plant hormone auxin moving down the main stem in the polar auxin transport stream. We use a computational model and mathematical analysis to show that apical dominance can be explained in terms of an auxin transport switch established by the temporal precedence between competing auxin sources. Our model suggests a mechanistic basis for the indirect action of auxin in bud inhibition and captures the effects of diverse genetic and physiological manipulations. In particular, the model explains the surprising observation that highly branched *Arabidopsis* phenotypes can exhibit either high or low auxin transport.

auxin transport canalization | dynamic system | MAX | shoot branching | simulation model

The plant shoot system is generated from the primary shoot apical meristem, which is established during embryogenesis. After germination, the meristem produces a series of metamers consisting of a stem segment, a leaf, and a new meristem in the leaf axil. Each axillary meristem has the same developmental potential as the primary shoot apical meristem in that it can produce a growing shoot axis. However, axillary meristems frequently enter a dormant state after forming only a few leaves. These dormant buds may subsequently be reactivated by various internal and external factors, contributing to the enormous diversity of plant architectures in nature and to plastic responses of plants to their environment.

An important factor regulating bud activity is the inhibition of axillary buds by the primary shoot apex. This phenomenon is called apical dominance and is familiar to gardeners, who prune away the leading shoot of plants to encourage branching. In the 1930s, the phytohormone auxin was identified as a central regulator of apical dominance (1). Auxin is synthesized in young expanding leaves at the shoot apex and is actively transported down the plant in the polar transport stream (2). The basipetal direction of auxin transport is determined by the basal localization of PIN auxin efflux carriers in cell files of the stem vascular parenchyma (3). Removal of the primary apex results in activation of axillary buds below the decapitated stump due to the withdrawal of auxin, and application of auxin to the stump mimics the inhibitory effect of the apex (1). Significantly, this effect of auxin is indirect: if radiolabelled auxin is used in such experiments, buds are inhibited even though radiolabel does not accumulate in the buds (4, 5).

For many years, the indirect effect of auxin was explained by assuming that the auxin signal was relayed into the bud by a second messenger, such as the phytohormone cytokinin (CK) (6). Consistent with this idea, CK can promote bud activation directly, and CK synthesis is down-regulated by auxin in the stem (6, 7). However, according to a more recent hypothesis, bud activation can also be

triggered by the efflux of auxin produced in the buds (8, 9), in which case apical dominance would rely on competition between apices for auxin transport through the main stem. A mechanism for this competition was proposed by Bennett et al. (9), based on the assumption that the main stem had a limited capacity for auxin transport. Apically-derived auxin could thus saturate the transport capacity of the main stem, preventing transport from the lateral buds. Here we show that the assumption of saturation, while intuitive, is not required for competition for transport to occur. Instead, competition can emerge from the positive feedback between auxin flux and polarization of active auxin transport, postulated by the canalization hypothesis (10, 11).

Results

The canalization hypothesis was originally proposed as a mechanism for differentiation of vascular stands connecting auxin sources to sinks and is consistent with subsequent observations of PIN protein accumulation and polarization during vascular strand patterning (12). Following Sachs (11), we assume that the feedback between auxin flux and PIN protein polarization can also be considered at levels larger than individual cells, in particular metamers. To investigate the interaction between auxin sources, we constructed a simulation model of a branching point in which two source metamers compete for auxin transport through a common sink metamer. Specifically, we assume that the flux $\Phi_{i \rightarrow j}$ of auxin from metamer i with auxin concentration c_i to metamer j with concentration c_j is expressed by equations proposed by Mitchison (13, 14) and restated in terms of PIN proteins (15, 16):

$$\Phi_{i \rightarrow j} = T c_i [PIN_{i \rightarrow j}] - T c_j [PIN_{j \rightarrow i}] + D(c_i - c_j), \quad [1]$$

where $PIN_{i \rightarrow j}$ is the surface concentration of PIN proteins directing transport from metamer i to metamer j , T characterizes the efficiency of PIN-dependent polar transport, and D is a coefficient of diffusion. Furthermore, we assume that $PIN_{i \rightarrow j}$ changes according to the equations

$$\frac{d[PIN_{i \rightarrow j}]}{dt} = \rho_{i \rightarrow j} \frac{\Phi_{i \rightarrow j}^n}{K^n + \Phi_{i \rightarrow j}^n} + \rho_0 - \mu [PIN_{i \rightarrow j}] \quad \text{if } \Phi_{i \rightarrow j} \geq 0, \quad [2a]$$

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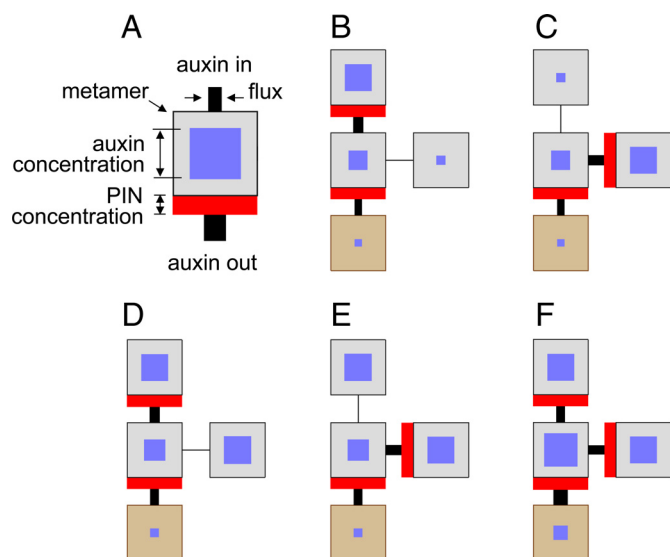


Fig. 1. Pathways of auxin transport in a branching structure simulated using a canalization model (Eqs. 1 and 2). (A) Schematic representation of a metamer. Edge length of the blue square is proportional to auxin concentration; width of the red rectangle is proportional to the concentration of PIN proteins in the corresponding metamer face, and width of the lines entering or leaving a metamer is proportional to auxin flux. (B–F) Stable states in a branching structure consisting of 2 potential sources of auxin in the terminal and lateral positions, a branching node, and a sink in the proximal position. (B–C) Stable states of the system with single auxin source in either terminal (B) or lateral (C) metamer. (D–F) Stable states of the system with 2 auxin sources. The terminal source has been established before (D), after (E), or near simultaneously (F) with the lateral source.

$$\frac{d[PIN_{i \rightarrow j}]}{dt} = \rho_0 - \mu[PIN_{i \rightarrow j}] \quad \text{if } \Phi_{i \rightarrow j} < 0, \quad [2b]$$

where $\rho_{i \rightarrow j}$ is the maximum rate of auxin-flux-driven PIN allocation to the face of metamer i abutting metamer j , ρ_0 is the residual (flux-independent) rate of PIN allocation, and μ is the decay constant capturing the rate with which PINs spontaneously leave the face. These equations are similar to Mitchison's (14), except that PIN allocation is described by a Hill function with coefficients K and n , rather than a quadratic function.

For suitable parameter settings (SI), a branching structure with metamers of this type has the behavior illustrated in Fig. 1. If there is a single source of auxin in either the terminal or lateral positions, a path of auxin flow from this source to the sink is established (Fig. 1 B and C). However, if there are 2 sources of auxin, the structure has 3 stable states, with either one or both sources supplying auxin to the sink (Fig. 1 D–F). Which of the 3 states is adopted depends on whether the sources were activated sequentially or near simultaneously. When one source is activated first, high auxin flow between this source and the sink is established. Subsequent activation of the second source does not result in auxin flow from this source to the sink (Fig. 1 D and E). An existing auxin flow from a source to a sink thus prevents the establishment of flow between a competing source and the sink. However, both sources can supply auxin to the sink concurrently if they are activated near simultaneously (Fig. 1 F). The above switching behavior is consistent with the observations of Sachs (10) and emerges from feedback between PIN allocation and auxin flow: when the source and the sink have high concentrations of auxin, stable flux values may either be low or high, depending on the history of the system (see SI for mathematical analysis). Furthermore, the behavior of the model is consistent with recent observations that transport of auxin in the stem is not saturated (17), and thus the interaction between auxin sources is not mediated by limiting transport capacity of the stem.

The model captures classical experimental systems consisting of a decapitated stem segment with 2 branches (4, 18, 19). One branch typically dominates the other, and the dominating branch can be basal to the inhibited branch. In addition, sustained growth of both branches is observed in a fraction of cases. Thus, the dominant auxin transport pathway to the sink is determined by the temporal sequence in which the auxin sources were established. Extrapolating to the whole plant, the primary shoot apex is therefore dominant because it was established first, rather than because of its apical position.

To explore further the implications of this mechanism, we modeled a developing shoot as a growing assembly of metamers (see SI for a description of the growth model). Assuming that auxin decays at some rate as it is transported basipetally, it will fall to levels below those required to inhibit axillary buds distant from the apex, effecting a transition from states D to C (Fig. 1) at the corresponding nodes. This results in acropetal activation of basal buds (Fig. 2 D–F), as often observed in nature. The model also reproduces classical decapitation experiments, in

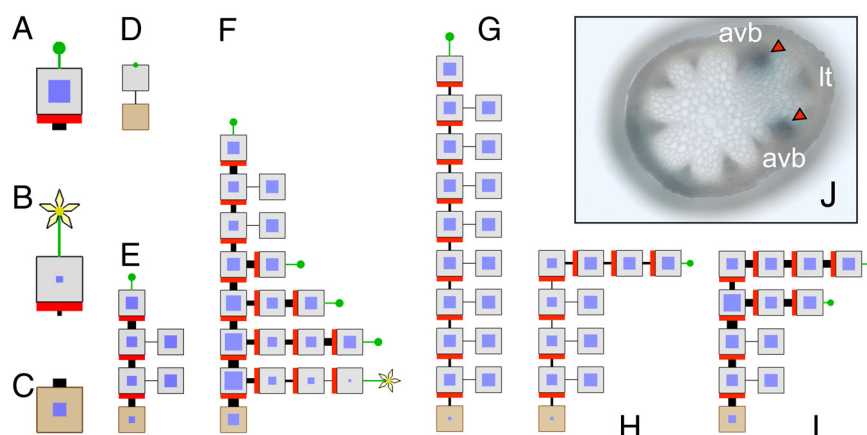


Fig. 2. Apical dominance. (A–C) Schematic representations of an apex in the vegetative state (A), in the flowering state (B), and of the root (C). Other aspects are represented as in Fig. 1A. (D–F) Selected stages of the simulation of acropetal bud activation. The simulated plant initially consists of a vegetative apex and root (D). As the plant grows, auxin from the apex is transported basipetally and inhibits lateral buds close to the apex (E). Auxin levels decrease with distance from the growing apex; this decrease eventually switches lateral buds to the active state, producing an acropetal activation sequence (F). (G–I) Simulation of decapitation experiments. After decapitation of a growing plant (G), the lateral apex closest to the decapitation site is activated and becomes dominant (H). Several buds close to the decapitation site are activated in the case of overcompensation (I). (J) GUS expression (red arrowheads) detected with the chromogenic substrate X-Gluc, driven by the DR5 auxin-responsive promoter in an Arabidopsis stem section immediately below a growing lateral shoot, prepared as in ref. 9. Lateral shoots vascularize into 2 adjacent vascular bundles in the main stem (avb) (19, 22) lt: leaf trace.

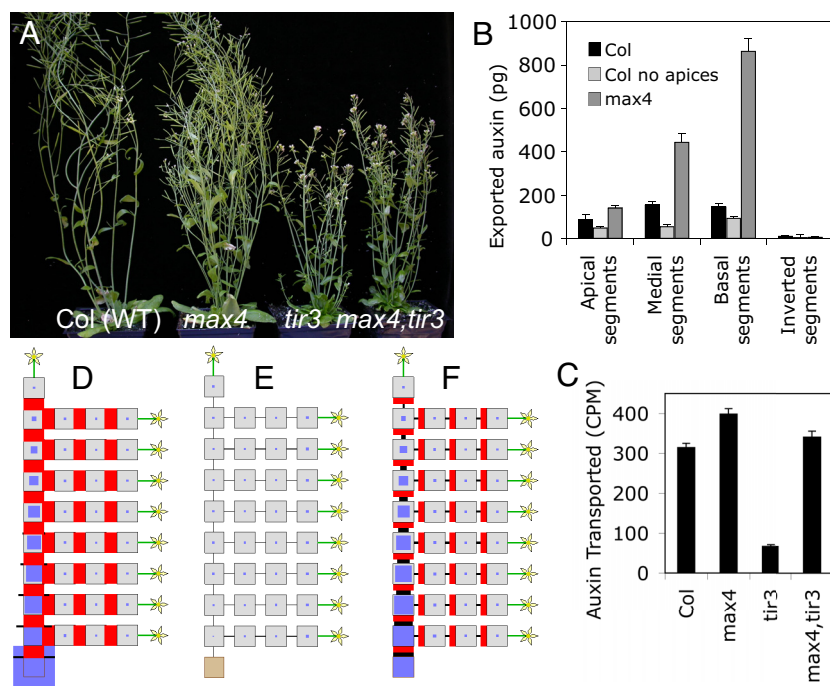


Fig. 5. Arabidopsis *max4* and *tir3* mutants and their interactions. (A) Aerial phenotype of 6-week-old Columbia WT, *max4-1*, *tir3-101*, and *max4-1,tir3-101* plants. (B) Mean auxin mass (pg) exported from 2 cm stem segments excised from the apical, medial, and basal region of the bolting stems of WT (Col) and *max4-1* mutant (*max4*) plants. For the “Col no apices” samples, all visible shoot apices above the point of stem excision were removed 24 h before the stem segments were excised. The auxin was collected from the basal end of the segments, except in the “inverted” segment samples, where it was collected from the apical end. Error bars: SEM, $n \geq 4$. (C) Mean radiolabelled auxin (cpm) transported along excised stem segments of the genotypes shown in (A). Error bars: SEM, $n = 10$. (D) Simulation of *max* mutant. PIN concentrations, auxin fluxes and auxin concentrations are increased with respect to the WT (Fig. 3E). (E) Simulation of *tir3* mutant. PIN concentrations, auxin fluxes, and auxin concentrations are decreased with respect to the WT (Fig. 3E). (F) Simulation of *max, tir3* double mutant. PIN concentrations, auxin fluxes, and auxin concentrations are increased with respect to the WT (Fig. 3E), but decreased compared to *max* (D).

branching and reduced auxin transport compared to WT (28, Fig. 5A and C). *TIR3/BIG* is thought to be required for the accumulation of active PIN proteins on the membrane (28, 29). It encodes a very large protein with similarity to the Drosophila PUSHOVER protein, known to be involved in delivering proteins to the membrane at the neuromuscular junction (30). Based on these data, we simulated *tir3* by reducing the flux-driven PIN allocation rate $\rho_{i \rightarrow j}$ (SI). In these simulations, auxin flux after floral transition is insufficient to maintain canalized polar PIN allocation, which eliminates competition between auxin sources and increases branching (Fig. 5E). Raising the PIN turnover rate μ yields similar results.

Increased branching is also characteristic of the *max* mutant phenotype, which results from defects in strigolactone synthesis or signaling (31, 32). In striking contrast to *tir3*, the *max* mutants have increased PIN accumulation and increased auxin flux through the stem (9). We modeled increased PIN levels by changing the same parameters as for modeling *tir3* but in the opposite direction, i.e., by increasing the PIN allocation rate $\rho_{i \rightarrow j}$ or decreasing the PIN turnover rate μ (SI). These changes promote simultaneous auxin efflux from multiple buds, creating a gradient of increased auxin flux along the main stem axis and increasing branching, as observed in the *max* phenotype (Fig. 5D).

The simulations also exhibit a significant increase in auxin concentration along the stem (Fig. 5D). To determine whether such a gradient exists in *max* stems, we measured the amount of auxin in the polar transport stream of 6-week-old WT (Col) and *max4* plants with an advanced stage of branch growth. Auxin exported from the basal end of isolated apical, medial, and basal bolting stem segments was collected over a 24-hour period. The amount of auxin collected from the apical end of each segment was negligible (Fig. 5B), indicating that the auxin collected from the basal end was indeed representative of the polar transport stream. Furthermore, removal of all apices from WT plants 24 h before the experiment resulted in reduced auxin collection from all segments (Fig. 5B), supporting the hypothesis that the auxin in the segments is derived from active shoot apices above. Both WT and *max4* apical stem segments exported significantly less auxin than medial and basal segments (Fig. 5B). Moreover, auxin

export from *max4* segments was significantly higher, and increased more between apical, medial, and basal segments, than in the WT. These results are consistent with our simulations.

Our simulations thus resolve the apparent paradox that increased branching can be achieved either by decreasing accumulation of active PINs on the membrane, as in *tir3*, or by increasing accumulation, as in the *max* mutants. Taken together, these results support the hypothesis of opposing roles for *TIR3* and *MAX* in auxin transport canalization and raise the question of the relationship between them. To address this question, we constructed the *max4, tir3* double mutant. It shows a highly branched phenotype similar to the parents, with no evidence of additivity in branch number (Fig. 5A). We then compared auxin transport in the stems of WT, the 2 single mutants, and the double mutant (Fig. 5C). Consistent with previously published data, *tir3* had approximately 25% of the auxin transport observed in WT (28, 29) and *max4* had approximately 125% (9). The low auxin transport phenotype of *tir3* is suppressed in the *max4* background. This behavior is captured in the model by combining the parameter manipulations described above, e.g., by simultaneously reducing PIN allocation rate $\rho_{i \rightarrow j}$ to simulate *tir3*, and PIN turnover μ to simulate *max4* (Fig. 5F). These results further support the hypothesis that both genes regulate the allocation of active PINs to the plasma membrane.

The above models rely on the assumption that the interplay between auxin transport and PIN polarization at the cellular level can be integrated over whole metamers. To validate this assumption, we constructed a schematic cell-level model, modified from ref. 33 (Fig. 6). This model behaves in a similar manner to the metamer-level model; in particular, it reproduces the basipetal cascade of bud activation. In addition, by operating at the cellular level, it captures the convergence of auxin flow into focused streams, precursors of vascular differentiation, as predicted by the canalization hypothesis (10). The model is thus consistent with the observations of Sachs (34, 35) that vascular connections may be formed concurrently with, and indeed as an integral part of, release of buds from apical dominance.

We confirmed these observations experimentally by examining localization of PIN1 protein in the stems of dormant and

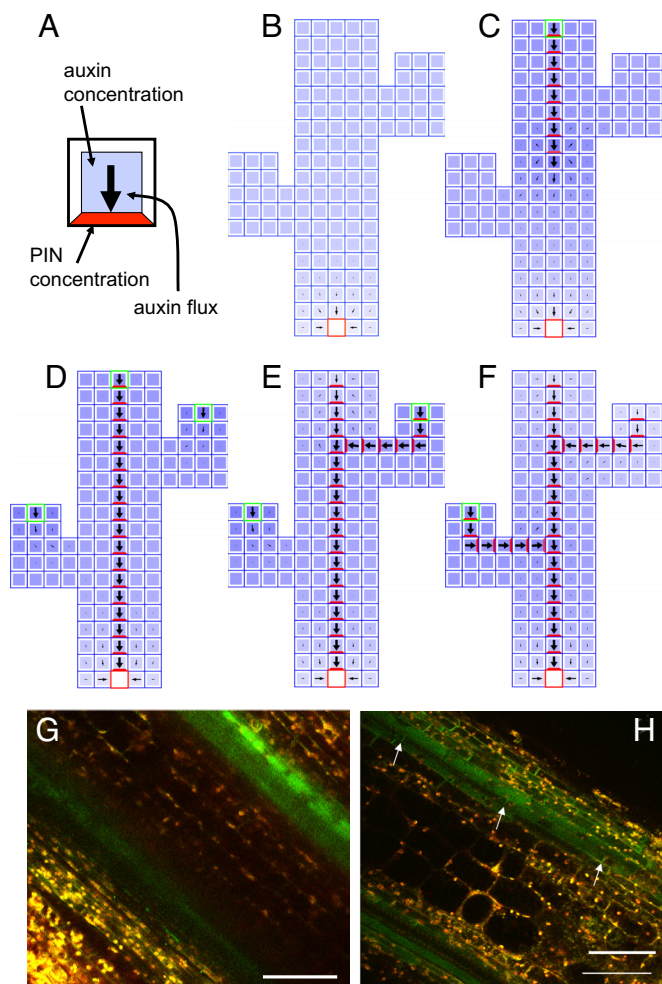


Fig. 6. Bud activation at the cellular level: simulations (A–F) and *in planta* data (G and H). (A) Schematic representation of a cell. Auxin concentration is shown as the intensity of blue, PIN as the intensity of red, and the dominant direction and magnitude of auxin flux is indicated by a black arrow. (B–F) Selected stages of the simulation. A longitudinal section of a stem with 2 buds is represented schematically as a grid of cells, approximating the shape of the section. Source cells are outlined in green; the sink is outlined in red. In the initial state there is small background production of auxin in every cell, and a single sink cell is present at the base (B). Following the placement of an auxin source at the top of the main stem, a vascular strand running through the stem emerges (C). Subsequent placement of auxin sources in the 2 buds does not trigger formation of lateral vasculature (D) until the auxin source at the top of the main stem is removed (E). Removal of the source in the upper bud causes the lower bud to be activated (F). (G and H) PIN1 localization in Arabidopsis axillary bud stems. Hand sections through the stems of inhibited (G) and active (H) axillary buds carrying the PIN1 protein fused to GFP under the control of the native *PIN1* promoter. Arrows indicate an example of basally localized GFP in a file of cells. (Scale bar: 50 μm .)

active axillary buds. The uppermost cauline nodes were excised from bolting stems of transgenic Arabidopsis carrying the PIN1 protein fused to GFP under the control of the *PIN1* promoter (36). The stem segments were maintained in Eppendorf tubes containing nutrient solution, and the apex was either kept intact or excised (19). With the apex intact, cauline buds remained dormant (mean bud length after 7 days for $n = 80$ buds on 40 explants was 2.2 mm with SE = 0.1 mm), whereas with the apex removed, the buds became active (mean bud length after 7 days for $n = 80$ buds on 40 explants was 10.9 mm with SE = 1.0 mm). To investigate PIN localization early in bud activation, buds from intact and decapitated explants were hand sectioned longitudinally

3 days after explant excision and examined by multiphoton microscopy. GFP was detected in the stems of both dormant and active buds in cells surrounding the central pith tissue (Fig. 6 G and H). In dormant bud sections, cells with basally localized PIN1 were rare (mean = 1.4 per field, SE = 0.5, $n = 8$), and a file of cells with aligned PINs was detected in only one of the 8 buds examined. In contrast, in the stems of active buds, we observed significantly more cells with basally localized PIN1 (mean = 4.9 per field, SE = 0.4, $n = 10$). These cells frequently occurred in files, consistent with activation-associated auxin transport canalization. Our results are consistent with the observations of Morris and Johnson (36) who demonstrated that actively growing pea shoots transport auxin basipetally, whereas those inhibited by apical dominance do not, and that this is due primarily to differences of polarity of auxin transport rather than transport activity per se.

Discussion

The canalization hypothesis postulates autoregulation of auxin flux to explain the emergence of narrow auxin transport paths leading to vascular strand formation. It is supported indirectly by experimental data (10, 11) and simulations (13–15, 33), showing that it captures diverse aspects of vascular patterning. It relies on the measurement of auxin flux by cells, for which no mechanism has yet been found. Nevertheless, several hypothetical mechanisms for the measurement of fluxes through the measurement of concentrations have been proposed (37, 38). The feedback between auxin transport and flux thus provides a plausible entry point for the analysis of auxin-driven processes.

We have shown that the same mechanism that canalizes auxin transport can also switch auxin transport paths on or off, resulting in long-distance signaling between auxin sources. The lack of path for auxin efflux from incipient primordia in the apical meristem of dormant buds may be the cause of bud arrest, since auxin efflux appears to be required to initiate and maintain leaf development in phyllotactic progression (16, 39–41).

Our model provides a plausible unifying explanation for apical dominance and the acropetal, basipetal, and convergent patterns of bud activation observed in nature. Model simulations are consistent with the branching habit, auxin distribution, and PIN accumulation patterns in WT and mutant Arabidopsis plants. The model also reconciles the apparently paradoxical observations that mutants with increased branching can have either low (*tir3*), normal (*axr1*) or elevated (*max4*) auxin transport. In each case, a change in the value of a single model parameter produces results consistent with the observed phenotype. The parameters chosen for manipulation correspond well with molecular-level understanding of TIR3 and AXR1 function. The mode of action of the MAX pathway is unknown, but our data and simulations suggest that MAX acts by modulating PIN cycling between the plasma membrane and endomembrane system. The model does not require targeted action of MAX, localized to specific sites such as buds or the main stem, but allows for its systemic operation throughout the auxin transport network. This is consistent with the expression of *MAX2*, a signal transduction component in the strigolactone pathway, throughout the vasculature (42). Our model of bud activation thus links molecular-level processes that underlie canalization with macroscopic features of plant architecture.

The presented model focuses on the proposed auxin transport switch and characterizes its essence at the minimum level of model complexity. We have demonstrated the utility of this model in generating testable predictions and hypotheses. The model can now be used as a framework for further studies. Experimentally determined parameters can be included as they become available and additional regulatory elements can be incorporated. Of particular interest are the ability of cytokinin to activate buds in the presence of apical auxin (6, 7), and the

ability of auxin to regulate transcription of *PIN* (44), strigolactone, and cytokinin biosynthesis genes (45, 27). A more complete model, incorporating these factors, will open the way to investigate the stability and sensitivity of the switch, and understand plasticity and robustness of the auxin transport network.

Materials and Methods

Plant lines are described in [SI](#). Growth conditions were as described in refs. 9 and 19. Auxin in the polar transport stream was collected and quantified from 2 cm bolting stem segments as described in [SI](#). Auxin transport measurements

were carried out in similar segments, as described in ref. 9. PIN:GFP fusion protein was visualized in longitudinal hand sections of the bud stem using multiphoton microscopy as described in [SI](#). Models were implemented as described in [SI](#). The source code for all models is available on request.

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